

## PRIVACY POLICY NOTICE

### ***What does Financial Lifestyle Management (FLM) do with your personal information?***

As a client of Financial Lifestyle Management, you share both personal and financial information with us. FLM is committed to maintaining the confidentiality, integrity and security of the personal information that is entrusted to us. We hold all personal information provided to us in the strictest of confidence. These records include all personal information that we collect from you in connection with any of the services provided by us. We use this information to help you meet your personal financial goals.

### ***What type of information we collect and share ?***

The type of personal information we collect and share depends on the scope of our engagement and services you request. This information assists us in servicing your financial needs, process your transactions, manage your accounts and fulfill legal and regulatory requirements.

It may include the following:

- Information regarding your identity such as name, address and social security number; as well as information about your health to the extent it is needed for the planning process.
- Your net-worth, income, assets, bank account information and account balances.
- Information regarding securities transactions effected by us or between you and third parties.

### ***How we Share and Protect Your Personal Information***

- We limit access to your information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with a custodial or brokerage firm in order to execute securities transactions on your behalf, or, if authorized by you, to discuss your financial situation with your accountant or attorney.)
- We do not sell or provide your personal information to mailing list vendors or solicitors. We require strict confidentiality with unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors. Federal and state securities regulators may review our Company records and your personal records as permitted by law.
- We maintain a secure office to ensure that your information is not placed at unreasonable risk. We employ a firewall barrier, secure data transfer techniques, authentication procedures and encryption in our computer environment.
- Personally identifiable information about you will be maintained while you are a client, and for the required period thereafter that records are required to be maintained by federal and state securities laws. After that time, information may be destroyed.

### ***Client Notifications***

We may also share your personally identifiable information with the Certified Financial Planner Board of Standards Inc. (CFP Board) as part of complying with the CFP Board's Code of Ethics and Professional Responsibility. If you prefer that we do not disclose your nonpublic personal information

to the CFP Board you can simply opt out of this disclosure by notifying us by phone at 903-455-1041 or mail at P O Box 8684, Greenville, TX 75404-8684.